



Docket No.: NY-LUD 5354-US1-DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR

CONSENT OF ASSIGNEE

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Cornell Research Foundation, Inc., an assignee of interest of the subject patent, agrees to the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors.

The undersigned has authority to act on behalf of the undersigner.

Brian Kelly

Name: **Brian Kelly**
Title: **Vice President**

Cornell Research Foundation Inc.

February 9, 2007

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR

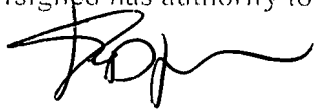
CONSENT OF ASSIGNEE

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Ludwig Institute for Cancer Research, an assignee of interest of the subject patent, agrees to the addition of **Thierry BOON-FALLEUR** and **Pierre VAN DER BRUGGEN** as inventors.

The undersigned has authority to act on behalf of the undersigner.



Name: JONATHAN SKIPPER

February 8, 2007

Date

Title: EXECUTIVE DIRECTOR FOR INTELLECTUAL PROPERTY

Ludwig Institute for Cancer Research

Docket No.: NY-LUD 5354-US1-DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR

STATEMENT OF ADDED INVENTORS

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The undersigned hereby state that they are properly named inventors on the subject patent. The current error in inventorship occurred without deceptive intent on our part.



Pierre VAN DER BRUGGEN

12 Feb 2007
Date



Thierry BOON-FALLEUR.

12 Feb 2007
Date

FEB. 27. 2007 11:12AM

LAB. OF CYTOGENETICS

NO. 159

P. 2

Docket No.: NY-LUD 5354-US1-DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR

STATEMENT OF CURRENTLY LISTED INVENTORS

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

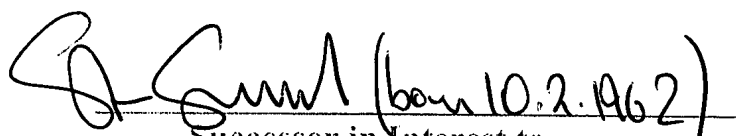
The undersigned, who are either currently listed inventors or, in the case of deceased inventor Elisabeth STOCKERT, her successor in interest, hereby agree to the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors on this patent.


Yao-Tseng CHEN


Date

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV


Successor in Interest to
Elisabeth STOCKERT

Vienna, Austria
Date 10.2.2007

Pilar GARIN-CHESA

Date

Wolfgang RETTIG

Date

Lloyd J. OLD

Date

02/23/2007 17:29 FAX 212 450 1515
23/02 07 FR 13:12 FAX +43 1 40400 5193
02/16/2007 11:32 FAX 212 450 1515

LUDWIG INSTITUTE
KLIN. PATHOLOGIE UNI WIEN
LUDWIG INSTITUTE

002 002

004

Patent No.: 5.843.448

Docket No.: NY-LUD 5354-USI-DIV

Successor in Interest to
Elisabeth STOCKERT

Date



Phil GARIN - CHESA

February 23, 2007

Date

Wolfgang RETTIG

Date

Lloyd J. OLD

Date

25743576.1

2

ATF2175

F92 2007-02-22 13:35

letzte Seite 4

02/23/2007 FRI 08:22 [TX/RX NO 7771]

02/16/2007 12:12 FAX 212 450 1515

LUDWIG INSTITUTE

15-FEB-2007 14:41 VON:B.I. AUSTRIA 2366 FE +43-1-801052366

AN:00012124501555

003

S:3/3

Patent No.: 5,843,448

Docket No.: NY-LUD S354-US1-DIV

Successor in Interest to
Elisabeth STOCKERT

Date

Pilar GARIN-CHESA

Date

W. J. Rettig

Wolfgang RETTIG

Feb 14, 2007

Date

Lloyd J. OLD

Date

35743576.1

2

02/15/2007 THU 09:50 [TX/RX NO 7719]

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-USI-DIV

Successor in Interest to
Elisabeth STOCKERT

Date

Pilar GARIN-CHE~~SA~~

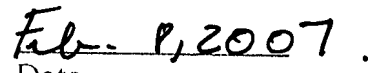
Date

Wolfgang RETTIG

Date



Lloyd J. GOLD



Date

FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP
666 FIFTH AVENUE, 31ST FLOOR
NEW YORK, NEW YORK 10103-3198
WWW.FULBRIGHT.COM

FACSIMILE TRANSMISSION**DATE:** April 24, 2007**MATTER NUMBER:**

RECIPIENT(S):	FAX NO.:	PHONE NO.:
Mr. Lewis USPTO - Certificate of Corrections Branch	571-270-9932	

FROM: Cheryl Zecchine**FLOOR:** 2402**PHONE:** (212) 318-3167**FAX:** (212) 318-3400**RE:** US Patent No. 5,843,448**NUMBER OF PAGES INCLUDING COVER PAGE:** 15**MESSAGE:**

Mr. Lewis,

Pursuant to our phone conversation regarding the request for a certificate of correction in US Patent No. 5,843,448, attached is a copy of the return receipt stamped postcard and all documentation filed with our request.

Please have the request entered. Thank you.

CAUTION - CONFIDENTIAL

THE INFORMATION CONTAINED IN THIS FACSIMILE IS CONFIDENTIAL AND MAY ALSO CONTAIN PRIVILEGED ATTORNEY-CLIENT INFORMATION OR WORK PRODUCT. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHOM IT IS ADDRESSED. IF YOU ARE NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THE FACSIMILE IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL
_____ AT _____ **AS SOON AS POSSIBLE.**

Atty Docket No.: NY-LUD 5354-US1-DIV (09881958)

Reference:

Inventor: Yao-Tseng Chen et al.

Patent No.: 5,843,448

Title: TUMOR REJECTION ANTIGEN PRECURSOR

Issue Date: December 1, 1998

Documents Filed:

Certificate of Correction (1 page)

Request for Certificate of Correction (Fee Required) (2 pages)

Check for \$120.00

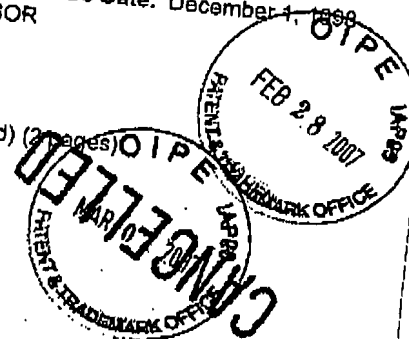
Signed Statement of Added Inventors

Signed Statement of Currently Listed Inventors

(2) Signed Consent of Assignee Statement

Via: Express Mail EV 793865883 US

Sender's Initials: NDH/fm



Date: March 1, 2007

FULBRIGHT & JAWORSKI, LLP
IPT DOCKETING




Docketed ☐ Not Req'd ☐ Confirmation ☐

Initials 1st _____ Initials 2nd _____

MAR 09 2007

Attorney _____

Docket No. _____
Action Req'd _____ Date Due _____

 EV 793665883 US		 EXPRESS MAIL		Customer Copy Label 11-F, April 2004	
ORIGIN (POSTAL SERVICE USE ONLY)			DELIVERY (POSTAL USE ONLY)		
PO ZIP Code 10020	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> 2nd <input type="checkbox"/> 3rd	Postage \$ 14.40	Delivery Attempt Mo. Day Time	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Date Accepted 03/07	Scheduled Date of Delivery 03/03	Return Receipt Fee \$	Delivery Attempt Mo. Day Time	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Time Accepted 11:05 AM	Scheduled Time of Delivery <input checked="" type="checkbox"/> Noon <input type="checkbox"/> 3 PM <input type="checkbox"/> Military	COB Fee \$	Delivery Date Mo. Day Time	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
First Rate or Weight 3 lbs.	Int'l Alpha Country Code 	Total Postage & Fees \$ 14.40	Acceptance 		
CUSTOMER USE ONLY		Acceptance Emp. Initials LHC			
METHOD OF PAYMENT: Exported Mtd Corporate Acct. No.			Federal Agency Acct. No. or Postal Service Acct. No.		
FROM: (PLEASE PRINT) N. Hanson FULBRIGHT & JAWORSKI LLP 666 5TH AVE FL 30 NEW YORK NY 10103-3198			TO: (PLEASE PRINT) Attn: Cert of Corr. Branch COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA VA 22313-1450		
FOR PICKUP OR TRACKING: Visit www.usps.com or Call 1-800-222-1811					

Docket No.: NY-LUD 5354-US1-DIV (09881958)
(PATENT)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. <u>67 793665883</u> , on the date shown below in an envelope addressed to: Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: <u>3/1/07</u>	Signature: <u>Fani Malikouzakis</u> (Fani Malikouzakis)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR

**REQUEST FOR CERTIFICATE OF INVENTORSHIP
PURSUANT TO 37 CFR 1.324**

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The real parties in interest of the above referenced patent, and the presently listed inventors, hereby request that a Certificate issue to correct inventorship by ADDING Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors.

Pursuant hereto, the following are submitted:

- (a) A statement from both Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN, stating that the inventorship error occurred

25749779.1

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

without any deceptive intention on either of their parts (37 C.F.R. § 1.324(b)(1));

- (b) A statement from the current named inventors, agreeing that the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors (37 C.F.R. § 1.324(b)(2));
- (c) A statement from the assignees: LUDWIG INSTITUTE FOR CANCER RESEARCH and CORNELL RESEARCH FOUNDATION, INC., agreeing to the change in inventorship. The statements are believed to conform to 37 C.F.R. § 3.73(b), (37 C.F.R. § 1.324(b)(3)); and
- (d) A check in the amount of \$120.00, as called for by 37 C.F.R. § 1.20(b) (37 C.F.R. § 1.324 (b)(4)).

Favorable consideration is requested.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0624, under Order No. NY-LUD 5354-US1-DIV (098819580).

Dated:

3/1/07

Respectfully submitted,

By

Norman D. Hanson

Registration No.: 30,946

FULBRIGHT & JAWORSKI L.L.P.

666 Fifth Avenue

New York, New York 10103

(212) 318-3000

(212) 318-3400 (Fax)

Attorney for Applicant

Docket No.: NY-LUD 5354-USI-DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR

CONSENT OF ASSIGNEE

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Cornell Research Foundation, Inc., an assignee of interest of the subject patent, agrees to the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors.

The undersigned has authority to act on behalf of the undersigner.

Brian Kelly
Name: Brian Kelly
Title: Vice President
Cornell Research Foundation Inc.

February 9, 2007
Date

25741584.1

Docket No.: NY-LUD 5354-US1-DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR


CONSENT OF ASSIGNEE

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Ludwig Institute for Cancer Research, an assignee of interest of the subject patent, agrees to the addition of **Thierry BOON-FALLEUR** and **Pierre VAN DER BRUGGEN** as inventors.

The undersigned has authority to act on behalf of the undersigner.


Name: JONATHAN SKIPPER
Title: EXECUTIVE DIRECTOR OF INTELLECTUAL PROPERTY
Ludwig Institute for Cancer Research

February 8, 2007
Date

25743589.1

02/26/2007 11:06 FAX 212 450 1346
26. FEV. 2007 10:20 LICR

LUDWIG INSTITUTE

Nº 171

P. 2 002

Docket No.: NY-LUD 5354-US1-DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

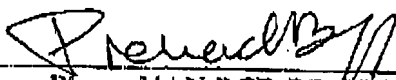
For: TUMOR REJECTION ANTIGEN
PRECURSOR

STATEMENT OF ADDED INVENTORS

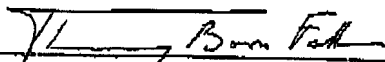
Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The undersigned hereby state that they are properly named inventors on the subject patent. The current error in inventorship occurred without deceptive intent on our part.


Pierre VAN DER BRUGGEN

12 Feb 2007
Date


Thierry BOON-FALLEUR.

12 Feb 2007
Date

25743863.1

02/28/2007 MON 05:22 [TX/RX NO 7783]

02/28/2007 15:28 FAX 212 450 1623

LUDWIG INSTITUTE

FEB. 27. 2007 11:12AM LAB. OF CYTOGENETICS

NO. 159 P. 2

0002

Docket No.: NY-LUD 5354-US1-DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN
PRECURSOR

STATEMENT OF CURRENTLY LISTED INVENTORS

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The undersigned, who are either currently listed inventors or, in the case of deceased inventor Elisabeth STOCKERT, her successor in interest, hereby agree to the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors on this patent.


Yao-Tseng CHEN

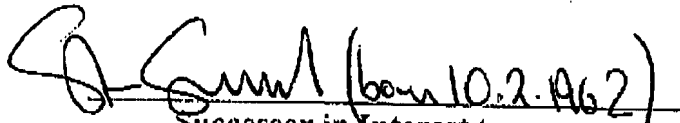

Date

25743576.1

02/28/2007 WED 13:01 [TX/RX NO 7814]

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV


Successor in Interest to
Elisabeth STOCKERT

Vienna, Austria
Date 10.2.2007

Pilar GARIN-CHESA

Date

Wolfgang RETTIG

Date

Lloyd J. OLD

Date

04/24/2007 10:06 212-318-3400

FULBRIGHT JAWORSKI

PAGE 11/15

02/23/2007 17:29 FAX 212 450 1515
23/02 07 FR 13:12 FAX +43 1 40400 5103
02/16/2007 11:32 FAX 212 450 1515

LUDWIG INSTITUTE
KLIN. PATHOLOGIE UNI WIEN
LUDWIG INSTITUTE

002 002

004

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

Successor in Interest to
Elisabeth STOCKERT

Date


Phil GARIN

February 23, 2007
Date

Wolfgang RETTIG

Date

Lloyd J. OLD

Date

25743576.1

2

ATP2175

FBI 2007-02-22 13:35

Seite 4

02/23/2007 FRI 08:22 [TX/RX NO 7771]

02/16/2007 12:12 FAX 212 450 1516

LUDWIG INSTITUTE

15-FEB-2007 14:41 VON:B.I. AUSTRIA 2366 FE +43-1-801052366

AN:00012124501555

@003
S:3/3

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

Successor in Interest to
Elisabeth STOCKERT

Date

Pilar GARIN-CHESA

Date

W. J. Rettig
Wolfgang RETTIG

Feb 14, 2007
Date

Lloyd J. OLD

Date

35743570.1

2

02/15/2007 THU 08:50 [TX/RX NO 7719]

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

Successor in Interest to
Elisabeth STOCKERT

Date

Pilar GARIN-CHESSA

Date

Wolfgang RETTIG

Date



Lloyd J. GOLD

Feb. 8, 2007
Date

PTO/SB/44 (04-05)
Approved for use through 04/30/2007. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 5,843,448
APPLICATION NO. : 08/560,024
ISSUE DATE : December 1, 1998
INVENTOR(S) : Yao-Tseng Chen et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the [75] Inventors section of the front page of the patent, please add the following (2) inventors to the list of inventors:

-- Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN, both of Brussels, Belgium --

Certificate of Correction

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 793065883 US, on the date shown below in an envelope addressed to:
Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 3/1/07Signature: Fani Malikouzakis (Fani Malikouzakis)

MAILING ADDRESS OF SENDER (Please do not use customer number below):
Norman D. Hanson

FULBRIGHT & JAWORSKI L.L.P.

666 Fifth Avenue

New York, New York 10103

25750698.1

APPR#	INVOICE#	DATE	AMOUNT	DESCRIPTION	VOUCH#
01030	022707	02-27-07	120.00	Cort. Inventorship Fee / 09881958	10818910
Fud/5354.101V					

FULBRIGHT & JAWORSKI L.L.P.

VENDOR: 15667 Commissioner of Patents and Trademarks - VA CHECK NO: 65251

FULBRIGHT & JAWORSKI L.L.P.
 A Registered Limited Liability Partnership
 655 Fifth Avenue
 New York, New York 10043

65251

Check Date: 02/27/2007

Amount: \$120.00

Check Number: 09881958

Pay to the order of: Commissioner of Patents and Trademarks
 United States Patent & Trademark Office
 Alexandria, VA 22313

Authorized Signature: [Signature]

True Signatures Required if Over \$10,000

Security Features: MICROFILM, SECURITY FEATURES INCLUDE VOID PANTOGRAPH, MICRO SIGNATURE LINE & SCHEDULED BACK PRINTING